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(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 13 September 2001 (13.09.2001)

PCT

(10) International Publication Number WO 01/67644 A1

(51) International Patent Classification⁷: G02B 6/00, H04J 14/08

H04B 10/00,

313, 3716 S. Hope Street, Los Angeles, CA 90007-4344 (US).

- ·
- (21) International Application Number: PCT/US01/07257
- (22) International Filing Date: 6 March 2001 (06.03.2001)
- (25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

60/187,126

6 March 2000 (06.03.2000) US

(63) Related by continuation (CON) or continuation-in-part (CIP) to earlier application:

US

60/187,126 (CON)

Filed on

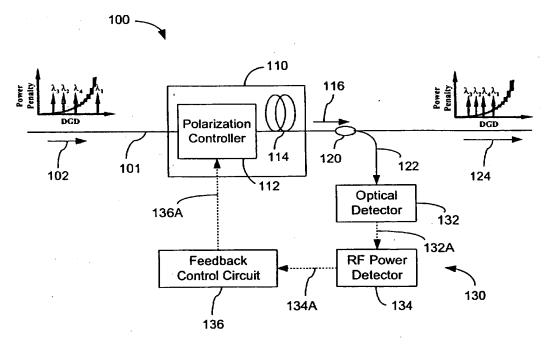
6 March 2000 (06.03.2000)

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- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ,

[Continued on next page]

(54) Title: COMPENSATION FOR POLARIZATION-MODE DISPERSION IN MULTIPLE WAVELENGTH-DIVISION MULTIPLEXED CHANNELS



(57) Abstract: Techniques for compensating for polarization-mode dispension (PMD) in multiple wavelength-division multiplexed (WDM) channels (102) by processing all WDM channels in the same manner without demultiplexing the channels. A feedback control (136) is provided to decrease the worst-case power penalty and the channel fading probability by optimizing over the entire group of WDM channels.

01/67644 A1

WU 01/6/644 A1

NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

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COMPENSATION FOR POLARIZATION-MODE DISPERSION IN MULTIPLE WAVELENGTH-DIVISION MULTIPLEXED CHANNELS

[0001] This application claims the benefit of U.S.

5 Provisional Application No.60/187,126, filed on March 6, 2000.

Background

[0002] This application relates to compensation for optical dispersion, and more specifically, to techniques for reducing polarization-mode dispersion in optical media such as optical fiber links in optical wavelength-division multiplexing (WDM) systems.

[0003] Some optical transmission media such as optical fibers are birefringent and hence exhibit different refractive indices for light with different polarizations along two orthogonal principal directions. Therefore, an optical signal, that comprises two components along the two orthogonal principal directions for each frequency, can be distorted after propagation through the

transmission medium since the two components propagate in different group velocities. This optical dispersion is generally referred to as polarization-mode dispersion ("PMD").

PCT/US01/07257

[0004] The degree of PMD may be approximately characterized by the average differential group delay ("DGD") between two principal states of polarization. Typical causes for such birefringence in fibers include, among others, imperfect circular core and unbalanced stress in a fiber along different transverse directions. The axis of birefringence of the optical fiber can change randomly on a time scale that varies between milliseconds and hours, depending on the external conditions. Thus, the DGD in an actual PMD fiber is not a fixed value but a 10 random variable that has a Maxwellian probability density function. [0005] Such polarization-mode dispersion is undesirable in part because the pulse broadening can

[0005] Such polarization-mode dispersion is undesirable in part because the pulse broadening can

limit the transmission bit rate, the transmission bandwidth, and other performance factors of an optical communication system. In fact, PMD is one of key limitations to the performance of some high-speed optical fiber communication systems at or above 10 Gbits/s per channel due to the fiber birefringence. Fibers with significant PMD (e.g., about 1 to 10 ps/km^{1/2}) are used in various fiber networks, particularly in those that were deployed in 1980's and early 1990's.

Summary

[0006] The present disclosure includes PMD compensation in WDM systems without wavelength demultiplexing. All WDM channels are guided through the same optical path in a PMD compensation module. The overall system performance can be improved by reducing the fading probability for one or more worst-performing channels at any given time.

[0007] One embodiment of a PMD compensation system

includes a PMD compensator and a feedback control that

produces a control signal for controlling the PMD

compensator. The PMD compensator guides a plurality of

WDM optical channels through a common optical path to

modify a differential group delay (DGD) in each channel.

The PMD compensator is operable to adjust the DGD in

response to the control signal. The feedback control is coupled to measure a property of the channels as a whole and operable to generate the control signal according to the measured property.

Brief Description of the Drawings

[0008] FIG. 1 shows a PMD compensation system based on one embodiment.

[0009] FIG. 2A shows simulation results of the power

5 penalty through the system in FIG. 1.

[0010] FIG. 2B shows the Maxwellian probability distribution for the differential group delay (DGD) in the input signal.

[0011] FIGS. 3A, 3B, and 4 show measured power penalty and eye diagram for four WDM channels with and without using a PMD compensation system based on the design in FIG. 1.

[0012] FIG. 5 compares the simulated probability density function for the channel with the worst DGD with and without the PMD compensation.

[0013] FIG. 6 shows another PMD compensation system with two or more adjustable PMD compensators.

Detailed Description

[0014] The techniques for PMD compensation described
in this disclosure are in part based on the recognition
that, the high DGD values in the distribution tail of the
DGD Maxwellian probability density function may have
significant and adverse impact on the signal degradation
even though the probabilities for such high DGD values to

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occur are low. Hence, it is desirable to design a PMD compensation scheme capable of reducing the impact of such high DGD values with low probabilities. In addition, as an alternative to compensation for the PMD in each individual WDM channel, the overall effects of PMD on multiple WDM channels may be reduced by processing the multiple WDM channels as a whole. This compensation scheme may be used to reduce the device complexity and cost for the PMD compensation.

[0015] In principle, an ideal multiple-channel first-10 order PMD compensator would generate PMD vectors opposite to the PMD vectors of the transmission fiber at each channel wavelength. In the low-PMD regime where the average DGD in the transmission link is low, the correlation bandwidth of PMD vectors for different WDM 15 channels is approximately inversely proportional to the amplitude of the PMD vector and is greater than the channel spacing. Hence, the PMD vectors on the Poincaré sphere for different WDM channels are correlated. Under this condition, different WDM channels may be treated 20 equally in an appropriate PMD compensation scheme to effectively compensate for the PMD in different WDM channels at the same time.

In the high-PMD regime where the correlation [0016] bandwidth of the PMD vectors is less than the WDM channel spacing, however, the PMD vectors of different WDM channels are independent from one another. Therefore, under the conventional wisdom, this appears to suggest that different WDM channels should be separately compensated. Some PMD compensation schemes follow this rational by using a WDM demultiplexer to first separate the different WDM channels and then using separate PMD compensators to respectively compensate for the PMD in 10 different WDM channels. The PMD-compensated channels are then combined by a WDM multiplexer at the output. Such schemes may increase both structural complexity and the cost of the PMD compensation system.

15 [0017] The present disclosure goes beyond the conventional wisdom to teach that, different WDM channels may still be treated equally as a whole even in the high-PMD regime where the correlation bandwidth of the PMD vectors is less than the WDM channel spacing. This is in part based on the recognition that two or more WDM channels are not likely to be severely degraded by the PMD at any given time. Instead, statistically, the majority of the WDM channels at any given time are likely to be in the low-DGD regime. Techniques of this

disclosure use one or more PMD compensators to equally process different WDM channels without channel discrimination by guiding each and every WDM channels through the same optical path. This approach can significantly decrease the worst-case power penalty and the channel fading probability by optimizing over the entire group of WDM channels although each WDM channel may still have some residue PMD.

[0018] In particular, a feedback control mechanism is used to monitor the degree of PMD degradation on the overall performance of the combined WDM channels by measuring a property of the combined WDM channels. Based this monitoring, the feedback control mechanism dynamically adjusts the one or more PMD compensators to reduce the PMD effect on the overall performance of the combined WDM channels.

[0019] FIG. 1 shows one embodiment of a PMD compensation system 100. A fiber 101 is used to transport an input optical signal 102 with multiple WDM channels from an optical link with PMD. A PMD compensator 110 is coupled in the fiber 101 to receive and process the input optical signal 102 to produce a PMD-compensated signal 116. Notably, the PMD compensator 110 is designed to process the input signal 102 as a

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whole without distinguishing individual WDM channels. Hence, different WDM channels are not demultiplexed and separated within the PMD compensator 110 but are guided through the same optical path within the PMD compensator 110.

- [0020] In the illustrated implementation, the PMD compensator 110 includes a polarization controller 112 and a polarization-maintaining (PM) fiber 114. The polarization controller 112 controls and adjusts the polarization of light so that its output signal has a
- polarization of light so that its output signal has a particular polarization. In particular, the polarization controller 112 is operable to set its output polarization in any desired polarization state and may operate in response to an external control signal. Several
- implementations of such a polarization controller are well known. The PM fiber 114 is birefringent and has fixed orthogonal principal polarization axes. Hence, as the polarization controller 112 rotates the polarization of the input signal 102 relative to the principal
- polarization axes of the PM fiber 114, a delay between the two orthogonal polarizations can be introduced through propagation through the PM fiber 114 in each WDM channel.

The system 100 implements an optical coupler [0021] 120 in the fiber 101 to tap a small PMD monitor signal 122 from the signal 116. The remaining portion of the signal 116 is then sent out in the fiber 101 as the output signal 124. A PMD feedback control loop 130 is used to receive and process the PMD monitor signal 122 and to produce a control signal 136A for controlling the PMD compensator 110. In the example shown, the control signal 136A is used to control the operation of the polarization controller 112. This PMD feedback control 10 loop 130 measures the degree of the total degradation of the combined WDM channels in the signal 116 at any given moment, and adjusts the amount of DGD it produces in the signal 116 to optimally decrease the DGD for the worst WDM channel. Hence, although each channel may have some residual PMD degradation, the probability of any of the channels being severely degraded by PMD can be significantly reduced. This technique may be used to significantly reduce the power-penalty distribution tail for each WDM channel and the probability of link outage. 20 One realization of the PMD feedback control [0022] loop 130 includes a single optical detector 132, a RF power detector 134, and a feedback control circuit 136. The optical detector 132 may be a high-speed detector

PCT/US01/07257

capable of responding at the highest bit rate in the $\ensuremath{\mathtt{WDM}}$ channels to convert the optical monitor signal 122 into an electrical detector signal 132A. The detector signal 132A essentially replicates the optical modulations in the total optical power of the combined WDM channels in form of a modulated current or voltage. The RF power detector 134 receives the detector signal 132A and measures the electrical power associated with the signal 132A which is proportional to the square of the modulated current or voltage in the signal 132A. The RF power detector 134 then produces a signal 134A that is proportional to the measured AC electrical power of the detector signal 132A either in the entire frequency range or a selected frequency range. Note that the DC portion of the measured electrical power could be removed by 15 filtering 134. This signal 134A is then processed by the feedback control circuit 136 to produce the control signal 136A. This signal 136A is fed back to the polarization controller 112 to increase the detected RF power by adjusting the polarization of the PMD compensator 110. This feedback operation can improve or optimize the overall system performance and produce increased eye-openings for the WDM data streams in the output 124.

[0023] The RF power detector 134 may include one or more RF bandpass filters to filter the power signal in one or more frequency bands to generate one or more signals like the signal 134A. This technique could be used to increase the sensitivity of the feedback control to the PMD-induced signal variations in the input WDM channels because the power variation in the signal 134A in a particular frequency band may be more sensitive than power variations in other frequency bands.

Alternatively, a tunable RF bandpass filter may be used in the power detector 134 to adjust the frequency band of the signal 134A to optimize the detection sensitivity.

The feedback control circuit 136 selects one signal 134A or a combination of these signals that is most sensitive to the PMD variation to produce the control signal 136A.

[0024] FIG. 2A shows simulation results of the power penalty corresponding to different DGD values. FIG. 2B shows the corresponding Maxwellian distribution in the input signal 102. The power penalty for small DGD values is small and may be negligible. The high DGD values generate significant power penalties. Additionally, the probability of a large DGD value is very small.

Moreover, even if two channels both have a large DGD, the channels will be severely degraded only if the state-of-

PCT/US01/07257

polarization of each channel is somewhat orthogonal to its own PMD vector. Therefore, it is highly unlikely that more than one channel will be severely degraded at any given time.

The compensation performance of the system 100 5 [0025] was demonstrated by operating on four equal-power WDM channels with a channel spacing of about 4 nm. A multisection PMD emulator with rotatable connectors was used to produce a Maxwellian-distributed DGD with a PMD-vector autocorrelation function that closely resembles that of real fiber. The average DGD produced by the PMD emulator is about 42 ps. The PMD compensator 110 was implemented with an electrically-controlled single-section compensator which includes a polarization controller and a short length of PM fiber to produce 30 ps of DGD. 15 The RF bandpass filter in the RF detector used for the measurements had a bandwidth of about 4 GHz from 4GHz to 8GHz.

[0026] FIGS. 3A and 3B show the power penalty

measurements for the combined four WDM channels by a

total of 1000 independent measurements with 250

measurements per channel. Each independent measurement

corresponds to a different emulator state. Simultaneous

compensation of all WDM channels can reduce the

probability of the highly-degraded tail events. For the combined WDM channels, the 2% worst case of the power penalty distribution tail for the channels is reduced from 9.6 dB to 5.3 dB.

- 5 [0027] FIG. 4 shows one measurement of the eye diagram of the four channels before and after compensation.

 Channel 4, the most-degraded channel, is shown to improve significantly after compensation without impacting the other channels.
- FIG. 5 shows the simulated DGD distribution of [0028] 10 the worst channel in a four-channel WDM system with 25 ps average DGD with and without compensation. Four independent PMD vectors are simulated 200,000 times, and the distribution of the maximum length (DGD) of the four vectors is plotted as the DGD distribution for the worst 15 channel in the uncompensated system. For the compensated system, a fixed 23-ps compensating PMD vector is used in an optimum position on the Poincaré sphere for each simulation to decrease the maximum length (DGD) PMD vector. The simulation indicates that, the DGD 20 distribution of the worst channel has been improved on average. In addition, the tail of the distribution has

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been considerably reduced. The 0.01% tail of DGD

distribution is reduced from 77 ps to 57 ps, which reduces the power penalty from 9.5 dB to 4.3 dB. [0029] The PMD compensation system 100 in FIG. 1 may be modified by having more than one pair of a polarization controller 112 and a PM fiber 114. modification can be used to achieve additional freedom and flexibility in adjusting or optimizing the direction of the compensating PMD vector of the PMD compensator 110 on the Poincaré sphere and the length (DGD) of this compensating vector and hence further improve the compensation. FIG. 6 shows one PMD compensation system 600 with a PMD compensator 610 that has three adjustable pairs of polarization controller and PM fibers, 110A, 110B, and 110C. The feedback control 136 produces three control signals 136A, 136B, and 136 to control the three

different polarization controllers, respectively. In general, as the number of the polarization controllers and the PM fiber sections increases, it is more likely to produce a resultant frequency-dependent PMD vector that could better compensate in the PMD in each WDM channel.

[0030] Although the present disclosure only includes a

few embodiments, other modifications and enhancements may be made without departing from the following claims.

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What Is Claimed Is:

- 1. A system, comprising:
 - a polarization-mode dispersion (PMD)
- compensator operable to guide a plurality of wavelength-division multiplexed (WDM) optical channels through a common optical path to modify a differential group delay (DGD) in each channel, said PMD compensator operable to adjust said DGD in response to a control signal; and
- a feedback control coupled to measure a property of said channels as a whole and operable to generate said control signal according to said property.
- 2. The system as in claim 1, wherein said property includes an AC electrical power proportional to a current or voltage that represents a total optical power of said channels.
- The system as in claim 2, wherein said feedback
 control adjusts said PMD compensator to increase said AC electrical power.
 - 4. The system as in claim 1, wherein said PMD compensator includes a polarization controller operable

PCT/US01/07257

to adjust an output polarization in response to said control signal, and a polarization-maintaining fiber.

- 5. The system as in claim 1, wherein said PMD compensator includes a plurality of units connected in series, each unit including a polarization controller operable to adjust an output polarization in response to said control signal and a polarization-maintaining fiber.
- 6. The system as in claim 1, wherein said feedback control includes:

an optical coupler disposed to couple a portion of an output signal from said PMD compensator that combines said channels;

an optical detector to convert said portion into an electrical signal;

an electrical power detector to detect an AC power of said electrical signal; and

a control circuit to generate said control 20 signal to increase said AC power.

7. The system as in claim 6, wherein said electrical power detector includes an electrical bandpass filter to

select said AC power in a frequency band to increase response sensibility of said feedback control.

8. The system as in claim 6, wherein said electrical power detector includes at least two electrical bandpass filters with different frequency bands to select said AC power in one of said frequency bands to increase response sensibility of said feedback control.

9. A method, comprising:

directing each of a plurality of wavelengthdivision multiplexed (WDM) optical channels through a
common optical path to modify a differential group delay
(DGD) in each channel so as to produce an output with
modified WDM channels;

measuring power of an AC portion of said output; and

modifying the DGD to increase said power.

20 10. The method as in claim 9, wherein said modification includes adjusting a polarization of each channel relative to a principal axis of a polarization-maintaining fiber in said common optical path.

11. The method as in claim 9, further comprising:

filtering said power in frequency to select a

portion of said power in a selected frequency band; and

wherein the DGD is modified to increase said

portion in said selected frequency band.

12. The method as in claim 9, further comprising:

filtering said power in frequency to select a

first portion of said power in a first selected frequency

band;

filtering said power in frequency to select a second portion of said power in a second selected frequency band; and

selecting one of said first and said portions to be increased by modifying the DGD.

13. The method as in claim 9, wherein said measuring power of said AC portion of said output includes:

tapping an output of said common optical path 20 to produce an optical monitor signal;

converting said optical monitor signal into an electrical signal;

measuring an AC electrical power of said electrical signal; and

filtering said AC electrical power in frequency to produce said AC portion.

- 14. The method as in claim 9, wherein said common optical path includes a first optical polarization controller and a first polarization-maintaining fiber, and wherein said first optical polarization controller is adjusted to modify the DGD.
- 15. The method as in Claim 9, wherein said common optical path includes a plurality of pairs of a polarization controller and a polarization-maintaining fiber connected in series, wherein each optical polarization controller is adjusted to modify the DGD.

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16. A system, comprising:

a polarization-mode dispersion (PMD)

compensator operable to guide a plurality of wavelengthdivision multiplexed (WDM) optical channels through a

common optical path to modify a differential group delay

(DGD) in each channel, said common optical path

comprising a plurality of adjustable units connected in

series respectively operable to adjust the DGD in

PCT/US01/07257

response to a plurality of control signals, respectively; and

a feedback control coupled to measure a property of said channels as a whole after transmitting through said common optical path and operable to generate said control signals according to said property.

- 17. The system as in claim 16, wherein each adjustable unit includes a polarization controller

 10 operable to adjust an output polarization in response to a respective control signal and a polarization—

 maintaining fiber.
- 18. The system as in claim 16, wherein said feedback control includes:

an optical coupler disposed to couple a portion of an output signal from said PMD compensator that combines said channels;

an optical detector to convert said portion 20 into an electrical signal;

an electrical power detector to detect an AC power of said electrical signal; and

a control circuit to generate said control signals to increase said AC power.

19. A method, comprising:

directing each of a plurality of wavelengthdivision multiplexed (WDM) optical channels through a
common optical path to modify a differential group delay
(DGD) in each channel so as to produce an output with
modified WDM channels; and

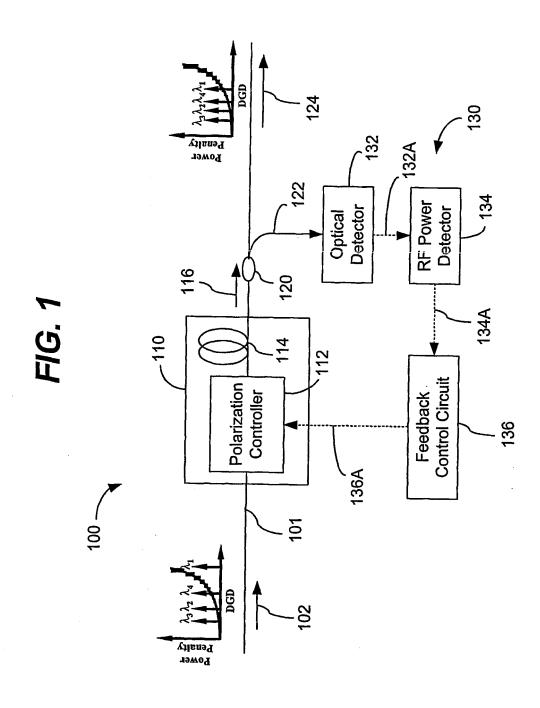
controlling said DGD to reduce an overall signal fading in said output caused by polarization-mode dispersion (PMD) on all of said channels without separately compensating PMD in each channel.

- 20. The method as in claim 19, further comprising:

 measuring power of an AC portion of said
- 15 output; and

modifying the DGD to increase said power.

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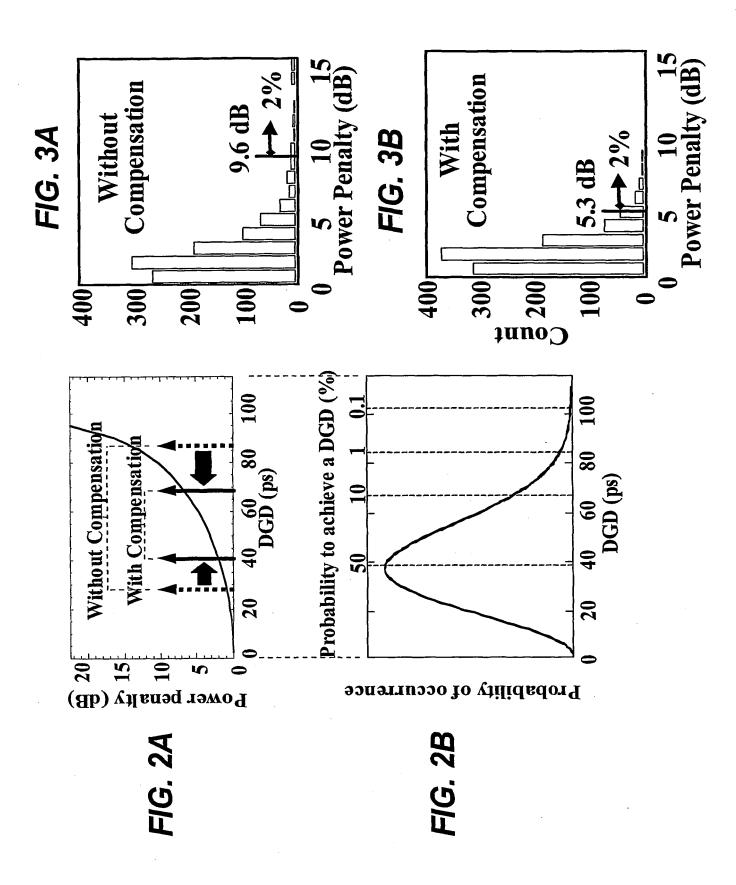
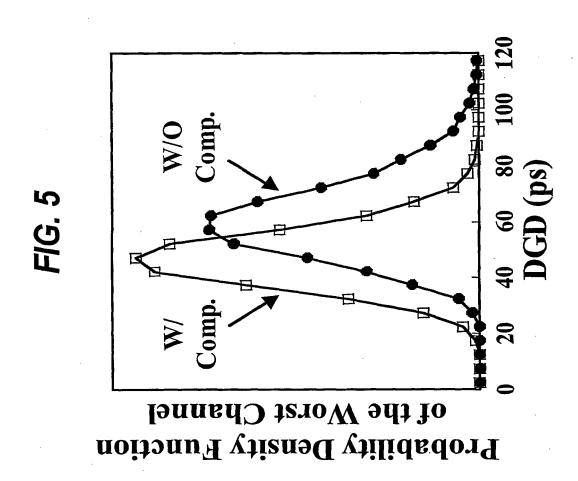
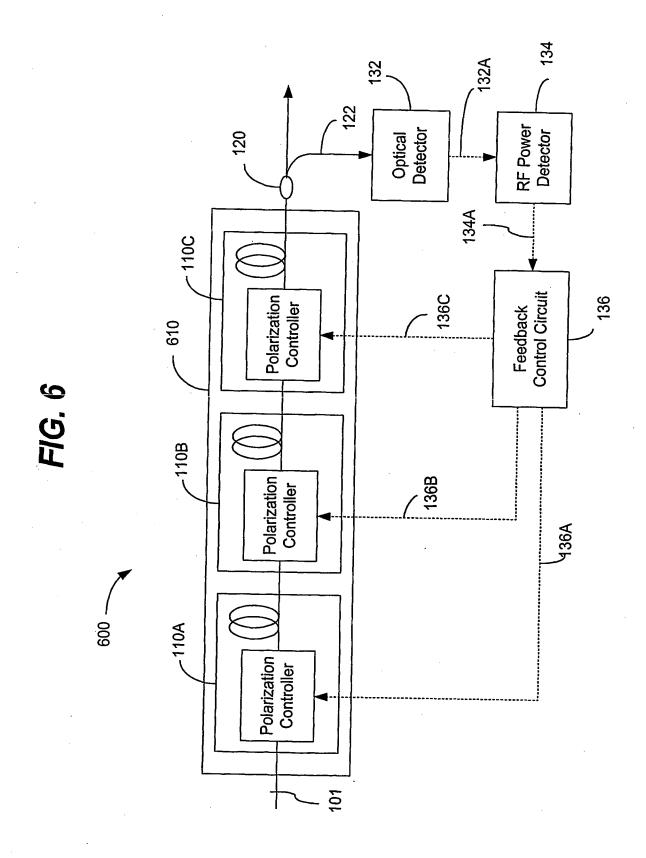


FIG. 4

	Channel 1	Channel 2	Channel 3	Channel 4
Without Compensation				
With				



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INTERNATIONAL SEARCH REPORT

International application No. PCT/US01/07257

A. CLASSIFICATION OF SUBJECT MATTER IPC(7) :H04B 10/00; G02B 6/00; H04J 14/08 US CL :359/161, 122, 156, 110, 140; 385/11, 24, 27, 28							
According t	According to International Patent Classification (IPC) or to both national classification and IPC						
	B. FIELDS SEARCHED						
Minimum documentation searched (classification system followed by classification symbols)							
U.S. : 359/161, 122, 156, 110, 140; 385/11, 24, 27, 28							
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched							
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)							
EAST search terms: polarization mode dispension, differential group delay, feedback							
C. DOCUMENTS CONSIDERED TO BE RELEVANT							
Category*	Citation of document, with indication, where app	ropriate, of the relevant passages	Relevant to claim No.				
X 	US 5,930,414 A (FISHMAN et al.) 27 lines 33-37, figure 2, col. 7, line 25, co	July 1999, figure 1, col. 5, l. 7, lines 50-51, col. 6, line	1-3, 6-7, 9, 11, 13, 16, 18-20				
Y	66- col. 7, line 14.		4, 5, 8, 10, 12, 14, 15, 17				
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Y	US 5,949,560 A (ROBERTS et al.) 07 September 1999, figures 4, 8, 12 6 and 13.						
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Further documents are listed in the continuation of Box C. See patent family annex.							
Special categories of cited documents: "T" Special categories of cited documents: "T" Special categories of cited documents: date and not in conflict with the application but cited to understand the principle or theory underlying the invention							
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Date of the actual completion of the international search Date of mailing of the international search report							
29 MAY 2001 29 MAY 2001							
Name and mailing address of the ISA/US Authorized officer							
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CORRECTED VERSION

(19) World Intellectual Property Organization International Burcau





(43) International Publication Date 13 September 2001 (13.09.2001)

PCT

(10) International Publication Number WO 01/67644 A1

313, 3716 S. Hope Street, Los Angeles, CA 90007-4344

(51) International Patent Classification7: G02B 6/00, H04J 14/08

H04B 10/00,

(US).

(21) International Application Number: PCT/US01/07257

(22) International Filing Date: 6 March 2001 (06.03.2001)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

60/187,126

6 March 2000 (06.03.2000) US

(63) Related by continuation (CON) or continuation-in-part (CIP) to earlier application:

US Filed on

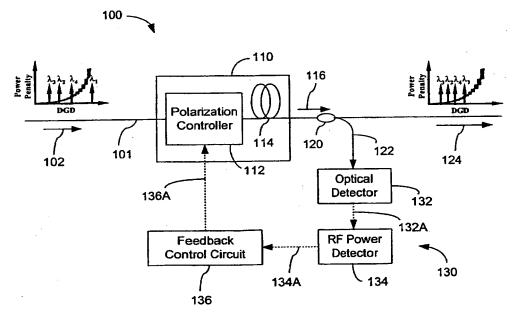
60/187,126 (CON) 6 March 2000 (06.03.2000)

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- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX,

[Continued on next page]

(54) Title: COMPENSATION FOR POLARIZATION-MODE DISPERSION IN MULTIPLE WAVELENGTH-DIVISION MUL-TIPLEXED CHANNELS



(57) Abstract: Techniques for compensating for polarization-mode dispension (PMD) in multiple wavelength-division multiplexed (WDM) channels (102) by processing all WDM channels in the same manner without demultiplexing the channels. A feedback control (136) is provided to decrease the worst-case power penalty and the channel fading probability by optimizing over the entire group of WDM channels.

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MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

with international search report

(48) Date of publication of this corrected version:

1 November 2001

(15) Information about Correction:

see PCT Gazette No. 44/2001 of 1 November 2001, Section II

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.